Docket No. 9473.18020-FOR

COMBINED DECLARATION AND POWER OF ATTORNEY (ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL, CONTINUATION OR CIP) As a below named inventor, I hereby declare that: TYPE OF DECLARATION This declaration is of the following type: (check one applicable item below) [x] original [ ] design [ ] supplemental If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application NOTE: do not check next item; check appropriate one of last three items. [ ] national stage of PCT If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR NOTE: [ ] divisional [ ] continuation [ ] continuation-in-part (CIP) INVENTORSHIP IDENTIFICATION WARNING: If the inventors are each not the inventors of all the claims an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted. My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: TITLE OF INVENTION Magnetic Force Devices, Systems, and Methods for Resisting Tissue Collapse Within the Pharyngeal Conduit SPECIFICATION IDENTIFICATION the specification of which: (complete (a), (b) or (c)) is attached hereto. (a) [ ] (b) [x] was filed on 6 September 2003 as [x ] Serial No. 10/656,861 or [ ] Express Mail No., as Serial No. not yet known \_ and was amended on (if applicable). Amendments filed after the original papers are deposited with the PTO which contain new matter are not accorded a filing NOTE: date by being referred to in the declaration. Accordingly, the amendments involved are those filed with the application papers or, in the case of a supplemental declaration, are those amendments claiming matter not encompassed in the original statement of invention or claims. See 37 CFR 1.67.

| (c) | [ | ] | was described and | aimed in PCT International Application No |
|-----|---|---|-------------------|---|
|     | Ī | Ī | filed on          | and as amended under PCT Article 19 o     |
|     |   |   |                   | (if any).                                 |

### ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56

(also check the following item, if desired)

[ ] In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

## PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

(complete (d) or (e))

- (d) [x] no such applications have been filed.
- (e) [ ] such applications have been filed as follows.

NOTE: Where item (c) is entered above and the International Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the priority claim.

A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN
12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS
APPLICATION AND ANY PRIORITY CLAIMS UNDER
35 U.S.C. S 119

| COUNTRY (OR INDICATE IF PCT) | APPLICATION<br>NUMBER | DATE OF FILING (day, month, year) | PRIORITY (<br>UNDER 37 |        |
|------------------------------|-----------------------|-----------------------------------|------------------------|--------|
| ·                            |                       |                                   | []YES                  | NO[]   |
|                              |                       |                                   | []YES                  | NO[]   |
|                              |                       |                                   | [ ]YES                 | NO[]   |
|                              |                       |                                   | []YES                  | NO [ ] |
|                              |                       |                                   | []YES                  | NO[]   |

# ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

NOTE: If the application filed more than 12 months from the filing date of this application is a PCT filing forming the basis for this application entering the United States as (1) the national stage, or (2) a continuation, divisional, or continuation-in-part, then also complete ADDED PAGES TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR DIVISIONAL, CONTINUATION OR CIP APPLICATION for benefit of the prior U.S. or PCT application(s) under 35 U.S.C. S 120.

#### POWER OF ATTORNEY

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Daniel D. Ryan (29,243) John M. Manion (38,957) Arnold J. Ericsen (16,879) Patricia A. Limbach (50,295) Joseph A. Kromholz (34,204) Daniel R. Johnson (46,204) Laura A. Dable (46,436)

Customer No.: 26308

[ ] Attached as part of this declaration and power of attorney is the authorization of the above-named attorney(s) to accept and follow instructions from my representative(s).

SEND CORRESPONDENCE TO

Daniel D. Ryan **RYAN KROMHOLZ & MANION, S.C.**Post Office Box 26618

Milwaukee, Wisconsin 53226-0618

26308

PATENT TRADEMARK OFFICE

DIRECT TELEPHONE CALLS TO:

Daniel D. Ryan PHONE CALLS (262) 783 - 1300

#### DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

Full name of sole or first inventor Nelson Lionel FAMILY (OR LAST NAME) MIDDLE INITIAL OR NAME) (GIVEN NAME) Inventor's signature Date 1/9/04 Country of Citizenship Residence (City, State/Country) Los Altos, California / US 27261 Black Mountain Road Post Office Address Los Altos, California 94022-4232 Full name of second joint inventor, if any Lax Ronald FAMILY (OR LAST NAME) (MIDDLE INITIAL OR NAME) (GIVEN NAME) Inventor's signature 12717/03 Country of Citizenship Tarpon Springs, Florida / US Residence (City, State/Country) 2103 Alexis Court Post Office Address \_ Tarpon Springs, FL 34689 Full name of third joint inventor, if any Doelling FAMILY (OR LAST NAME) (GIVEN NAME) (MIDDLE NITIAL OR NAME) Inventor's signature Date 12/22/03 Country of Citizenship Sunnyvale, California / US Residence (City, State/Country) 718 Jura Way Post Office Address Sunnyvale, CA 94087 Full name of fourth joint inventor, if any Jinfang (MIDDLE INITIAL OR NAME) FAMILY (OR LAST NAME) (GIVEN NAME) CFC 12/11/2003 Inventor's signature Date 12/16/2003 Country of Citizenship US CH Lancaster, Pennsylvania / US Residence (City, State/Country) 764 Oxford Road Post Office Address Lancaster, PA 17601 SUSPICIONES PER Full name of fifth joint inventor, if any Muller Peter FAMILY (OR LAST NAME) (GIVEN NAME) MIDDLE INITIAL OR NAME) Inventor's signature Date 12.12.05 Country of Citizenship Germany Residence (City, State/Country) Woodside, California / US 155 Sunrise Drive Post Office Address Woodside, CA 94062

## SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

| Full name of sole or first inventor                    |  |  |
|--|--|--|
| Lionel   | M  | Nelson<br>FAMILY (OR LAST NAME)        |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)                 | FAMILY (OR LAST NAME)                  |
| Inventor's signature                                   | ountry of Citizenship US                 |  |
|  | Juliu j 4. Juliu - 1                     |  |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,                | Los Altos, California / US               |  |
| Post Office Address                                    | 27261 Black Mountain Road                | ······································ |
|  | Los Altos, California 94022-4232         |  |
|  |  |  |
|  |  |  |
| Full name of second joint inventor,                    | if any                                   |  |
| Ronald /   | , G                                      | Lax                                    |
| Ronald (GIVEN NAME) Inventor's signature Date 12/17/03 | (MIDE E INITIAL OR NAME)                 | FAMILY (OR LAST NAME)                  |
| Inventor's signature                                   | ed wax                                   |  |
| Date 12/17/03 C  | ountry of Citizenship US                 |  |
| Residence (City, State/Country)                        | Tarpon Springs, Florida 7 03             |  |
| Post Office Address                                    | 2103 Alexis Court                        |  |
|  | Tarpon Springs, FL 34689                 |  |
|  |  |  |
|  |  |  |
| Full name of third joint inventor, if a                | anv                                      |  |
| Eric   | N  | Doelling                               |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)                 | FAMILY (OR LAST NAME)                  |
| Incompanie alematura                                   |  |  |
| Date C   | ountry of Citizenship US                 |  |
| Residence (City, State/Country)                        | Sunnyvale, California / US               |  |
| Post Office Address                                    | 718 Jura Way                             |  |
|  | Sunnyvale, CA 94087                      |  |
|  |  |  |
|  | <u> </u>                                 |  |
| E. H   | ·  |  |
| Full name of fourth joint inventor, if                 | arry                                     | Liu                                    |
| Jinfang<br>(GIVEN NAME)                                | (MIDDLE INITIAL OR NAME)                 | FAMILY (OR LAST NAME)                  |
| Inventor's signature                                   | (MIDDLE INTINE STATE WILL)               |  |
|  | country of Citizenship US                |  |
|  | Lancaster, Pennsylvania / US             |  |
| Post Office Address                                    | 764 Oxford Road                          |  |
| Fost Office Address                                    | Lancaster, PA 17601                      |  |
|  |  |  |
|  | 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1 |  |
| Full name of fifth joint inventor, if a                | nv                                       |  |
| Peter  | Н.                                       | Muller                                 |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)                 | FAMILY (OR LAST NAME)                  |
| Inventor's signature                                   |  |  |
| DateC  | Country of Citizenship Germany           |  |
| Residence (City, State/Country)                        | Woodside, California / US                |  |
| Post Office Address                                    | 155 Sunrise Drive                        |  |
|  | Woodside, CA 94062                       |  |

## SIGNATURE(S)

NOTE: Carefully indicate the family (or last) name as it should appear on the filing receipt and all other documents.

| Full name of sixth joint inver   | Nor, ii ariy  |  |
|--|---|--|
| Ryan   | P   | Boucher  |
| (GIVEN NAME)   | (MIDDLE INITIAL OR NAME)  | FAMILY (OR LAST NAME)  |
| Inventor's signature   | me  |  |
| Date <u>1/14/04</u>  | Country of Citizenship US                                       |  |
| Residence / /  | San Francisco, California / US                                  | .,   |
| Post Office Address  | 1424 Clay Street  |  |
|  | San Francisco, CA 94109   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
|  |   |  |
| Full name of seventh joint in  | iventor, it any   | Reo  |
| Michael  | L.  | FAMILY (OR LAST NAME)  |
| (GIVEN NAME)   | Michael L. CC NAME)   | - Addition to the control of the con |
|  |   |  |
| Date <u>12/15/03</u>   | Country of Citizenship US                                       |  |
| Residence  | Redwood City, California / US                                   |  |
| Post Office Address  | 218 Brighton Lane   |  |
| 1 031 011100 / 1001 000  | Redwood City, CA 94061  |  |
| 7 051 011100 7 1001000   | Redwood City, CA 34001  |  |
| 7 051 011100 71001000  | Redwood City, CA 34001  |  |
| 7 051 011100 7 1001000   | Redwood City, CA 94001  |  |
| - Ust cindo / tations  | Redwood City, CA 94001  |  |
| - Ust emoc / talious   | Redwood City, GA 94001  |  |
| T dat clinde / tadiods   | Redwood City, GA 94001  |  |
| T dat cilide / tadiode   | Redwood City, GA 94001  |  |
|  |   |  |
|  |   |  |
| Full name of eighth joint inv  | entor, if any   | FAMILY (OR LAST NAME)  |
| Full name of eighth joint inv  | entor, if any  (MIDDLE INITIAL OR NAME)                         | FAMILY (OR LAST NAME)  |
| Full name of eighth joint inv<br>(GIVEN NAME)<br>Inventor's signature  | entor, if any  (MIDDLE INITIAL OR NAME)                         | FAMILY (OR LAST NAME)  |
| Full name of eighth joint inv  (GIVEN NAME) Inventor's signature  Date | entor, if any  (MIDDLE INITIAL OR NAME)  Country of Citizenship | FAMILY (OR LAST NAME)  |
| Full name of eighth joint inv  | entor, if any  (MIDDLE INITIAL OR NAME)                         | FAMILY (OR LAST NAME)  |

# CHECK PROPER BOX(ES) FOR ANY OF THE FOLLOWING ADDED PAGE(S) WHICH FORM A PART OF THIS DECLARATION

| [x]  | Signature for sixth and subsequent joint inventors.  |
|------|--|
|      | * * *  |
| []   | Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.             |
|      | * * *  |
| []   | Signature for inventor who refuses to sign or cannot be reached by person authorized under 37 CFF 1.47.                      |
|      | ***  |
| [x ] | Added page to combined declaration and power of attorney for US Priority Claim   |
|      | * * *  |
| []   | Authorization of attorney(s) to accept and follow instructions from representative   |
|      | ***  |
|      | (If no further pages form a part of this declaration then end this declaration with this page and check the following item:) |
|      | [ ] This declaration ends with this page   |

Docket No. 9473.18020-FOR

# ADDED AGE TO COMBINED DECLARATION AND POWER OF ATTORNEY FOR US PRIORITY CLAIM

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S) UNDER 35 U.S.C. 120

I hereby claim the benefit under Title 35, United States Code, S 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, S 112, I acknowledge the duty to disclose information that is material to the examination of this application, namely, information where there is substantial likelihood that a reasonable Examiner would consider it important in deciding whether to allow the application to issue as a patent, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

## PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 USC 120:

Status (CHECK ONE)

| J.S. APPLICATIONS                 | U.S. FILING DATE  | Patented   | Pending                                | Abandor                          |
|-----------------------------------|---|--|--|----------------------------------|
| .10/236,455                       | 6 September 2002  |  |  |                                  |
| .60/441,639                       | 22 January 2003   |  |  |                                  |
| 3. <u>60/456,164</u>              | 20 March 2003   |  |  |                                  |
|                                   | PCT APPLICAT  | TIONS DESIGNATING T  | HE U.S.                                |                                  |
| CT APPLICATION NO.                | PCT<br>DA   | FILING<br>TE   |  | U.S. SERIAL<br>ASSIGNED (if any) |
|                                   |   |  |  |                                  |
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| i.                                |   |  |  |                                  |
| •                                 |   | NY, FOR ABOVE LISTE  | D U.S./PCT APF                         | PLICATIONS                       |
| 35 USC 119 PR                     | IORITY CLAIM, IF AN   |  |  |                                  |
| 35 USC 119 PR                     | IORITY CLAIM, IF AN   | NY, FOR ABOVE LISTE  | PRIORITY APPL                          |                                  |
| 35 USC 119 PR                     | IORITY CLAIM, IF AN   | NY, FOR ABOVE LISTE<br>ATION FROM WHICH I<br>ED UNDER 35 USC 119   | PRIORITY APPL                          |                                  |
| 35 USC 119 PR                     | IORITY CLAIM, IF AN   | NY, FOR ABOVE LISTE  | PRIORITY APPL                          | ICATION                          |
| 35 USC 119 PR  DETAILS O  Country | F FOREIGN APPLICATION OF APPLICATION OF APPLICATION No.       | ATION FROM WHICH IS TO UNDER 35 USC 119  Date of filing (day, month, year)   | PRIORITY APPL                          | ICATION  of issue                |
| 35 USC 119 PR  DETAILS O  Country | F FOREIGN APPLICATION OF AIMED APPLICATION OF APPLICATION No. | AY, FOR ABOVE LISTE  ATION FROM WHICH I  ED UNDER 35 USC 119  Date of filing (day, month, year)  | PRIORITY APPL                          | ICATION  of issue                |
| 35 USC 119 PR  DETAILS O  Country | F FOREIGN APPLICATION OF APPLICATION OF APPLICATION No.       | ATION FROM WHICH ISTED UNDER 35 USC 119  Date of filing (day, month, year)   | PRIORITY APPL                          | ICATION  of issue                |

PATENT

Customer No. 26308

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Nelson et al.

Group No.: Unknown

Serial No.:

10/656,861

Examiner: Unknown

Filed:

6 September 2003

For:

Magnetic Force Devices, Systems, and Methods for Resisting Tissue Collapse Within

the Pharyngeal Conduit

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT BY ATTORNEY THAT APPLICATION FILED IN PTO IS THE ONE INVENTOR(S) EXECUTED BY SIGNING DECLARATION

I, <u>Daniel D. Ryan</u>, Registration No. <u>29,243</u>, of RYAN KROMHOLZ & MANION (Customer No. 26308), S.C., P.O. Box 26618, Milwaukee, Wisconsin 53226-0618, {(262) 783-1300} state I am an attorney for this application and the application identified above is the application which the inventor(s) executed by signing the declaration which is being submitted herewith.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed as follows: Mail Stop Missing Parts, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Date 19 January 2004

Linda S. Wenzel

(Typed Name of Person Signing Paper)